

CMS FINVEST LIMITED

(FORMERLY : CMS INFOTECH LIMITED)

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E-mail : info@cmsinfotech.com
Website : www.cmsinfotech.com
CIN : L67120WB1991PLC052782

Dated: 04.01.2017

The Secretary
The Calcutta Stock Exchange Asso. Ltd.
7, Lyons Range
Kolkata – 700 001

The Asst. General Manager
Department of Corporate Services
Bombay Stock Exchange Ltd.
25th Floor, Phiroz Jeejeebhoy Towers
Dalal Street, Mumbai – 400 001

Dear Sir/Madam

Sub: Compliance Report on Corporate Governance for the quarter ended 31st December, 2016

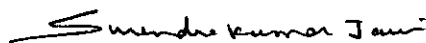
Pursuant to Regulation 27(2) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are hereby submitting the Compliance Report on Corporate Governance for the quarter ended 31st December, 2016 in prescribed format in Annexure- I.

This is for your information and record please.

Thanking You,

Yours faithfully,

For CMS Finvest Limited




Surendra Kumar Jain
(Managing Director)
DIN No. 00166852

Annexure-1

1. Name of the Listed Entity: CMS Finvest Ltd.
2. Quarter Ending: 31st December, 2016

I. Composition of Board of Directors								
Title (Mr./Ms.)	Name of the Director	PAN & DIN	Category (Chairperson/Executive/Non-Executive/Independent/Nominee)	Date of Appointment in the current term/cessation)	Tenure	No. of Directorship in Listed entities including this listed entity (Refer Regulation 25(1) of the Listing Regulations)	Number of memberships in Audit/Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of the Listing Regulations)	No. of post of Chairperson in Audit/Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of the Listing Regulations)
Mr.	Surendra Kumar Jain	PAN: AEYPI0521K DIN: 00166852	Executive	27/01/2016	-	2	1	-
Mr.	Sandeep Dey	PAN: AHOPD3564D DIN: 00177159	Non-Executive/Independent	14/03/2001	5 Years w.e.f 24.09.2014	1	2	-
Mr.	Thakur Prasad	PAN: AERPP5107L DIN: 00209053	Non-Executive/Independent	26/12/2005	5 Years w.e.f 24.09.2014	1	1	1
Mr.	Ashya Kumar Parida	PAN: ALRPP9994Q DIN: 01651737	Non-Executive/Independent	10-09-2012	5 Years w.e.f 24.09.2014	1	1	1
Mrs.	Krishna Banerjee	PAN: APFBB3957M DIN: 06997186	Non-Executive	24/03/2015	-	7	1	-

II. Composition of Committees			
Name of Committee	Name of Committee Members	Category (Chairperson/Executive/Non-Executive/Independent/Nominee)	
1. Audit Committee	Mr. Thakur Prasad	Non-Executive/Independent	
	Mr. Sandeep Dey	Non-Executive/Independent	
	Mr. Ashya Kumar Parida	Non-Executive/Independent	
	Mr. Sandeep Dey	Non-Executive/Independent	
	Mr. Thakur Prasad	Non-Executive/Independent	
2. Nomination & Remuneration Committee	Mr. Thakur Prasad	Non-Executive/Independent	
	Mr. Ashya Kumar Parida	Non-Executive/Independent	
	Mr. Sandeep Dey	Non-Executive/Independent	
3. Stakeholders Relationship Committee	Mr. Ashya Kumar Parida	Non-Executive/Independent	
	Mr. Sandeep Dey	Non-Executive/Independent	

III. Meeting of Board of Directors				
Date(s) of Meeting (if any) In the previous quarter	Date(s) of Meeting (if any) In the relevant quarter	Maximum gap between any two consecutive (in number of days)		
10th August, 2016	10th November, 2016	91 Days		
IV. Meeting of Committees				
Date(s) of Meeting of the Committee In the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of Meeting (if any) In the previous quarter	Maximum gap between any two consecutive (in number of days)	
Audit Committee- 9th November, 2016	Yes, Total Strength - 3 Directors, Present - 3 Directors	9th August, 2016	91 Days	
V. Related Party Transactions				
Subject	Compliance Status (Yes/No/NA)			
Whether prior approval of audit committee obtained	Yes			
Whether shareholder approval obtained for material RPT	NA			
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes			
VI. Affirmations				
1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015				
2. The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015				
a. Audit Committee				
b. Nomination & Remuneration Committee				
c. Stakeholders Relationship Committee				
d. Risk Management Committee (applicable to the top 100 listed entities)				
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015				
4. The meeting of board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015				
5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here: NIL				
				
Surendra Kumar Jain Managing Director DIN: 00166852				